

REMARKS

Claims 57-61 and 72 are pending.

In the Office Action mailed November 16, 2006, the title of the invention (“Method for Directing and Executing Certified Trading Interests”) was objected to. However, the Office Action does not suggest a title that would be acceptable. Applicants respectfully request a suggested title, pursuant to MPEP 606.01, so that Applicants don’t have to guess at what sort of title the Patent Office would like to see.

Claims 57-61 and 72 stand rejected under 35 U.S.C. § 112 for several reasons ((i) through (viii)). These are each addressed below.

(i) Claim 57 has been amended to clarify that the first order has a first price and a first size, which are matched against prices and sizes of other orders.

(ii) This addressed by the amendment that addresses reason (i).

(iii) This appears to be an observation – not a ground for rejection. If the claim form being objected to is prohibited by the MPEP, a citation to the relevant MPEP section is required. Otherwise, Applicant does not understand the reason for rejection.

(iv) Claim 57 has been amended to change “random” to randomly-timed.”

(v) The result is that the orders are matched. Claim 57 has been amended to make this result more clear.

(vi) The reason for rejection is not stated. Only a fragment of a sentence is provided. Clarification is respectfully requested.

(vii) This appears to be an observation – not a ground for rejection. If the claim form being objected to is prohibited by the MPEP, a citation to the relevant MPEP section is required. Otherwise, Applicant does not understand the reason for rejection. The claim is not required to state what happens in every case – other cases may have no relation to the invention. The claimed invention relates to what happens in the case(s) described in the claim.

(viii) (vii) This appears to be an observation – not a ground for rejection. If the claim form being objected to is prohibited by the MPEP, a citation to the relevant MPEP section is required. Otherwise, Applicant does not understand the reason for rejection. As is explained in the specification, the randomly-timed match check events occur (not surprisingly) at random times – times not related to any particular order.

All grounds for rejection are believed to be overcome by the above amendments and remarks.

No fee is believed due with this Response. However, please charge any required fee to Deposit Account No. 50-0310.

Respectfully submitted,

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A handwritten signature in black ink, reading "Steven D. Underwood", is written over a horizontal line.

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